

12-21-05
AF

IFW

1642

PTO/SB/21 (09-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

15

Application Number

10/001,221

Filing Date

October 30, 2001

First Named Inventor

Schall, Thomas J.

Art Unit

1642

Examiner Name

Canella, Karen A.

Attorney Docket Number

019934-004100US

ENCLOSURES (Check all that apply)

- ☒ Fee Transmittal Form
(1 p., submitted in duplicate).
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation
Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify
below):
Declaration Under 37 CFR 1.48(a) (2 pp.);
Declaration (3 pp., signed in counterpart);
Consent of Assignee for Correction of
Inventorship Under 37 CFR 1.48(a) (2 pp.);
Statement Under 37 CFR 3.73(b) (1 p.);
Copy of Assignment from Zheng Wei to
ChemoCentrx, Inc. (1 p.); and,
Return Postcard.

- ☐ Certified Copy of Priority
Document(s)
- ☒ Request to Correct Inventorship
Under 37 CFR 1.48(a) (2 pp.).
- ☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53

Remarks

The Commissioner is authorized to charge any additional fees to Deposit
Account 20-1430.**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

Neil G. Miyamoto

Date

December 19, 2005

Reg. No.

50,370

CERTIFICATE OF TRANSMISSION/MAILING

Express Mail Label: EV 732 173 580 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address"
service under 37 CFR 1.10 on this date December 12, 2005 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450 on the date shown below.

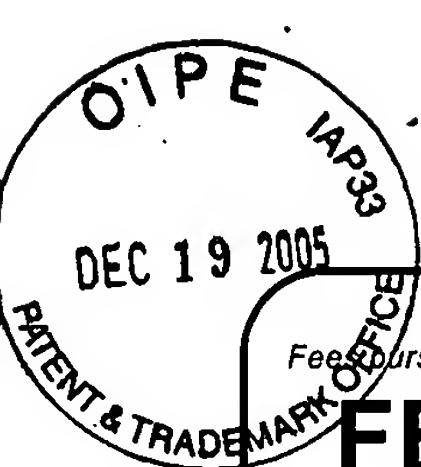
Signature

Typed or printed name

William Carr

Date

December 19, 2005



Effective on 12/08/2004.

Fee pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$130)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 10/001,221 |
| Filing Date | October 30, 2001 |
| First Named Inventor | Schall, Thomas J. |
| Examiner Name | Canella, Karen A. |
| Art Unit | 1642 |
| Attorney Docket No. | 019934-004100US |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
☒ Deposit Account Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|--------------|----------|--------------|----------|------------------|----------|----------------|
| | Small Entity | Fee (\$) | Small Entity | Fee (\$) | Small Entity | Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Small Entity | |
|---|--------------|----------|
| | Fee (\$) | Fee (\$) |
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent | 50 | 25 |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200 | 100 |
| Multiple dependent claims | 360 | 180 |

| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) | Multiple Dependent Claims | Fee (\$) | Fee Paid (\$) |
|---|--------------|----------|---------------|---------------------------|----------|---------------|
| _____ -20 or HP = _____ x _____ = _____ | | | | | | |

HP = highest number of total claims paid for, if greater than 20

| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
|--|--------------|----------|---------------|
| _____ -3 or HP = _____ x _____ = _____ | | | |

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|---|--------------|--|----------|---------------|
| _____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____ | | | | |

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Fee for Request to Correct Inventorship (\$130)

\$130

SUBMITTED BY

| | | | |
|-------------------|------------------|--|------------------------|
| Signature | | Registration No. (Attorney/Agent) 50,370 | Telephone 650-326-2400 |
| Name (Print/Type) | Neil G. Miyamoto | | Date December 19, 2005 |



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Date of Deposit December 19, 2005

PATENT
Docket No.: 019934-004100US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

By: William Carr

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas J. Schall et al.

Application No.: 10/001,221

Filed: October 30, 2001

For: COMPOSITIONS FOR INDUCING
AN IMMUNE RESPONSE

Examiner: Canella, Karen A.

Art Unit: 1642

REQUEST TO CORRECT
INVENTORSHIP UNDER 37 C.F.R.
§1.48(a)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request under 37 C.F.R. §1.48(a) to add Zheng Wei as an inventor to the above-identified patent application.

As required by 37 C.F.R. §1.48(a), the following documents are submitted herewith:

1. Statement from Zheng Wei stating that it was through error and without deceptive intent that he was not named as an inventor in the above-identified application;

2. Executed declarations by joint inventors Thomas J. Schall, Dale Talbot, Robert Berkowitz, Maureen Howard, Brett Premack and Zheng Wei in accordance with 37 C.F.R. §1.63;

3. Written consent from the assignee, ChemoCentryx, Inc., to the change in inventorship;

4. Statement Under 37 C.F.R. §3.73(b) signed by Thomas J. Schall on behalf of ChemoCentryx, Inc.; and,

5. Copy of Assignment from Zhen Wei to ChemoCentryx, Inc..

Please charge the request fee of \$130.00, pursuant to 37 C.F.R. §1.17(i), to Deposit Account No. 20-1430. Please charge any additional fees or credit overpayment to the above Deposit Account.

Respectfully submitted,



Neil G. Miyamoto
Reg. No. 50,370

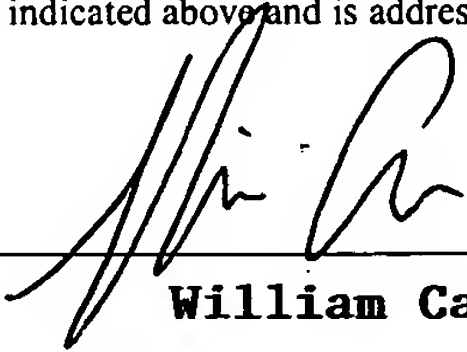
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
NGM:ym



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Date of Deposit 12/19/05

PATENT
Docket No.: 019934-004100US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

By: 
William Carr

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas J. Schall et al.

Application No.: 10/001,221

Filed: October 30, 2001

For: COMPOSITIONS FOR INDUCING
AN IMMUNE RESPONSE

Examiner: Canella, Karen A.

Art Unit: 1642

DECLARATION UNDER 37 C.F.R. §
1.48(a)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Zheng Wei, state that it was through error without deceptive intent that I was not named as an inventor in the above-identified application.

I agree to the correction of inventorship currently requested by the assignees of the above-identified application to add myself, Zheng Wei, as a joint inventor.

Dated: 10-25-05

By: 
Zheng Wei

Thomas J. Schall et al.
Application No.: 10/001,221
Page 2

PATENT

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
NGM:ngm

60547711 v1

**DECLARATION**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: COMPOSITIONS FOR INDUCING AN IMMUNE RESPONSE the specification of which ____ is attached hereto or X was filed on October 30, 2001 as Application No. 10/001,221 and was amended on June 22, 2002, January 28, 2004, March 8, 2004, September 7, 2004, and August 17, 2005.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

| Country | Application No. | Date of Filing | Priority Claimed Under 35 USC 119 |
|---------|-----------------|----------------|--------------------------------------|
| | | | |

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

| Application No. | Filing Date |
|-----------------|----------------|
| 60/198,839 | April 21, 2000 |

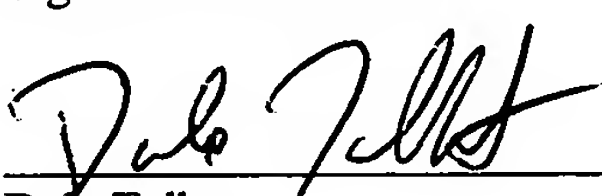

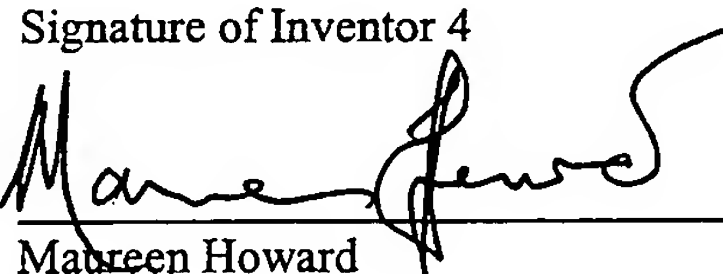
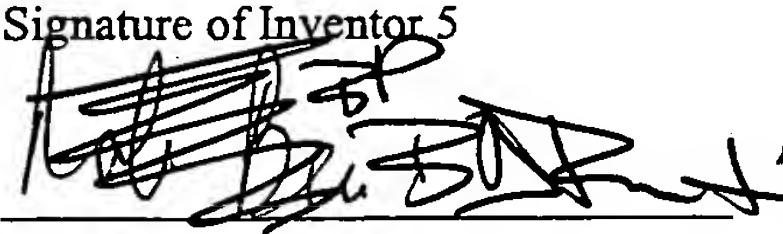
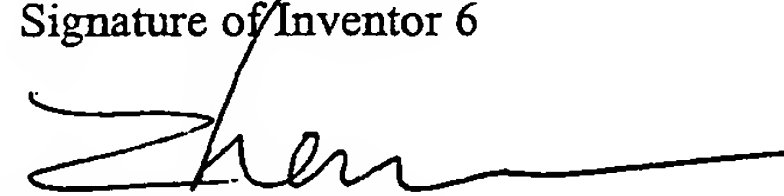
I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| Application No. | Date of Filing | Status |
|-----------------|----------------|-----------|
| 09/834,814 | April 12, 2001 | Abandoned |

| | | | | |
|--------------------------|--|---|---|------------------------------|
| Full Name of Inventor 1: | Last Name: SCHALL | First Name: THOMAS | Middle Name or Initial: J. | |
| Residence & Citizenship: | City: Palo Alto | State/Foreign Country: California | Country of Citizenship: United States | |
| Post Office Address: | Post Office Address: 563 Homer Avenue | City: Palo Alto | State/Country: California | Postal Code: 94301 |
| Full Name of Inventor 2: | Last Name: TALBOT | First Name: DALE | Middle Name or Initial: | |
| Residence & Citizenship: | City: San Francisco | State/Foreign Country: California | Country of Citizenship: Canada | |
| Post Office Address: | Post Office Address: 67 Brentwood Avenue | City: San Francisco | State/Country: California | Postal Code: 94127 |

| | | | | |
|--------------------------|--|--|--|---------------------------|
| Full Name of Inventor 3: | Last Name: BERKOWITZ | First Name: ROBERT | Middle Name or Initial: | |
| Residence & Citizenship: | City: San Francisco | State/Foreign Country: California | Country of Citizenship: United States | |
| Post Office Address: | Post Office Address: 32 Dorland Street, #2 | City: San Francisco | State/Country: California | Postal Code: 94110 |
| Full Name of Inventor 4: | Last Name: HOWARD | First Name: MAUREEN | Middle Name or Initial: | |
| Residence & Citizenship: | City: Los Altos | State/Foreign Country: California | Country of Citizenship: Australia | |
| Post Office Address: | Post Office Address: 12700 Viscaino Road | City: Los Altos | State/Country: California | Postal Code: 94022 |
| Full Name of Inventor 5: | Last Name: PREMACK | First Name: BRETT | Middle Name or Initial: | |
| Residence & Citizenship: | City: San Francisco | State/Foreign Country: California | Country of Citizenship: Canada | |
| Post Office Address: | Post Office Address: 155 Jackson, #2008 | City: San Francisco | State/Country: California | Postal Code: 94111 |
| Full Name of Inventor 6: | Last Name: WEI | First Name: ZHENG | Middle Name or Initial: | |
| Residence & Citizenship: | City: Redwood City | State/Foreign Country: California | Country of Citizenship: United States | |
| Post Office Address: | Post Office Address: 75 Duane Street, Apt. 12 | City: Redwood City | State/Country: California | Postal Code: 94062 |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| | | |
|---|---|---|
| Signature of Inventor 1 Thomas J. Schall Date | Signature of Inventor 2  Date Nov 9, 2005 | Signature of Inventor 3  Robert Berkowitz Date 11/1/05 |
| Signature of Inventor 4  Maureen Howard Date 11-1-05 | Signature of Inventor 5  Brett Premack Date 11-1-05 | Signature of Inventor 6  Zheng Wei Date 10-25-05 |



| | | | | |
|--------------------------|---|---|---|------------------------------|
| Full Name of Inventor 3: | Last Name: BERKOWITZ | First Name: ROBERT | Middle Name or Initial: | |
| Residence & Citizenship: | City: San Francisco | State/Foreign Country: California | Country of Citizenship: United States | |
| Post Office Address: | Post Office Address: 32 Dorland Street, #2 | City: San Francisco | State/Country: California | Postal Code: 94110 |
| Full Name of Inventor 4: | Last Name: HOWARD | First Name: MAUREEN | Middle Name or Initial: | |
| Residence & Citizenship: | City: Los Altos | State/Foreign Country: California | Country of Citizenship: Australia | |
| Post Office Address: | Post Office Address: 12700 Viscaino Road | City: Los Altos | State/Country: California | Postal Code: 94022 |
| Full Name of Inventor 5: | Last Name: PREMACK | First Name: BRETT | Middle Name or Initial: | |
| Residence & Citizenship: | City: San Francisco | State/Foreign Country: California | Country of Citizenship: Canada | |
| Post Office Address: | Post Office Address: 155 Jackson, #2008 | City: San Francisco | State/Country: California | Postal Code: 94111 |
| Full Name of Inventor 6: | Last Name: WEI | First Name: ZHENG | Middle Name or Initial: | |
| Residence & Citizenship: | City: Redwood City | State/Foreign Country: California | Country of Citizenship: United States | |
| Post Office Address: | Post Office Address: 75 Duane Street, Apt. 12 | City: Redwood City | State/Country: California | Postal Code: 94062 |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

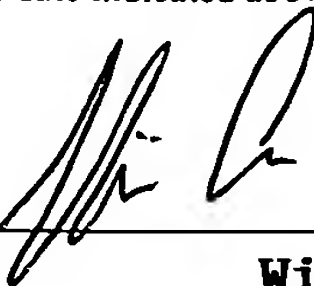
| | | |
|--|--|---|
| Signature of Inventor 1 Thomas J. Schall Date 11/21/05 | Signature of Inventor 2 Dale Talbot Date | Signature of Inventor 3 Robert Berkowitz Date 11/1/05 |
| Signature of Inventor 4 Maureen Howard Date 11-1-05 | Signature of Inventor 5 Brett Premack Date 11-1-05 | Signature of Inventor 6 Zheng Wei Date 10-25-05 |



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Date of Deposit 12/19/05

PATENT
Docket No.: 019934-004100US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

By: 

William Carr

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas J. Schall et al.

Application No.: 10/001,221

Filed: October 30, 2001

For: COMPOSITIONS FOR INDUCING
AN IMMUNE RESPONSE

Examiner: Canella, Karen A.

Art Unit: 1642

CONSENT OF ASSIGNEE FOR
CORRECTION OF INVENTORSHIP
UNDER 37 C.F.R. § 1.48(a)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

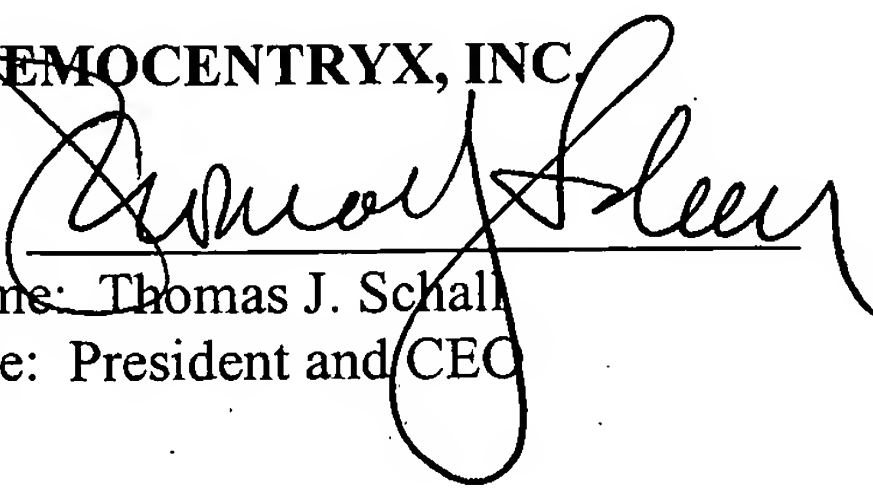
Sir:

The assignee of the above-identified application, ChemoCentryx, Inc., consents to amending the subject application to add Zheng Wei as an inventor.

In accordance with 37 C.F.R. § 3.73(b), a certificate of assignment is enclosed to establish ownership of the above-identified application by ChemoCentryx, Inc.

11/21/05
Date

CHEMOCENTRYX, INC.

By: 
Name: Thomas J. Schall
Title: President and CEO

Thomas J. Schall et al.
Application No.: 10/001,221
Page 2

PATENT

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
NGM:ngm

60547742 v1

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Thomas J. Schall, Maureen C. Howard, Robert Berkowitz, Brett A. Premack, Dale Talbot and Zheng Wei (applicants as corrected)

Application No./Patent No.: 10/001,221 Filed/Issue Date: October 30, 2001

Entitled: COMPOSITIONS FOR INDUCING AN IMMUNE RESPONSE

ChemoCentryx, Inc., a Delaware Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Thomas J. Schall, Maureen C. Howard, Robert Berkowitz, Brett A. Premack, Dale Talbot To: ChemoCentryx, Inc

The document was recorded in the United States Patent and Trademark Office at Reel 013053 Frame 0117, or for which a copy thereof is attached.

2. From: Zheng Wei To: ChemoCentryx, Inc

The document was recorded in the United States Patent and Trademark Office for which a copy thereof is attached.

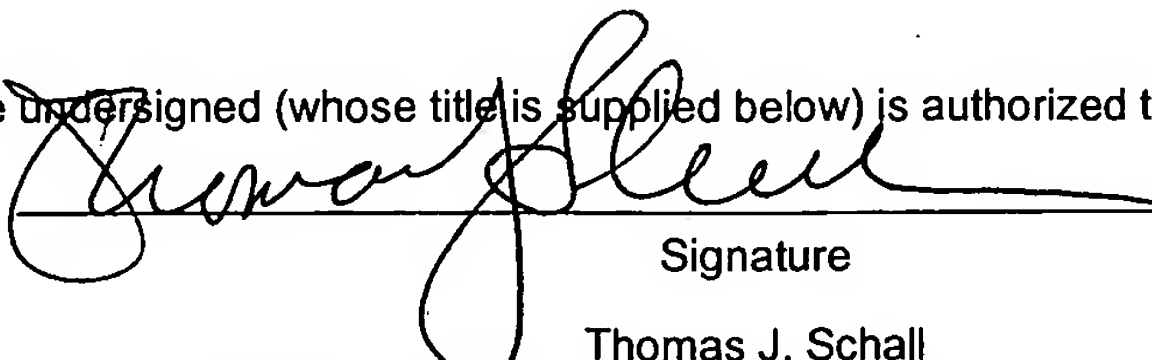
3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature
Thomas J. Schall

Printed or Typed Name

President and Chief Executive Officer, Chemocentryx, Inc.

Title

11/21/05

Date

650 210 2900

Telephone Number

ASSIGNMENT

WHEREAS, Zheng Wei of 75 Duane St., Apt. 12, Redwood City, CA 94062, hereinafter referred to as "ASSIGNOR," is the owner of rights in certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: COMPOSITIONS FOR INDUCING AN IMMUNE RESPONSE

Filing Date: October 30, 2001

Serial No.: 10/001,221

WHEREAS, ChemoCentryx of 850 Maude Avenue, Mountain View, CA, US, 94303, hereinafter referred to as "ASSIGNEE," wants to acquire an interest in the invention and application and in any Letters Patent and Registrations that may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignor hereby authorizes and requests Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, this Assignment is executed this 10-25-05 day of _____, 2005.

BY: Zheng Wei

Zheng Wei

WITNESS: M. Penfold

[Signature]

10/28/05

60609955 v1

P. Henderson

Patrice Henderson

